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OPC Unified Architecture

Part 16: State Machines

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This specification is the specification for developers of OPC UA applications. The specification is a result of an analysis and design process to develop a standard interface to facilitate the development of applications by multiple vendors that shall inter-operate seamlessly together.

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Revision 1.05.00 Highlights

The following table includes the Mantis issues resolved with this revision.

Mantis ID	Summary	Resolution
<u>5521</u>	Make Part 5 Annex B a separate Part	Content of Part 5 Annex B moved to the initial version of this part. Merged content of Amendment 2.
<u>4276</u>	Extend StateMachine model with choice states and guards	Choice states and guards are added as possible extensions to state machines (see 4.6).
<u>4695</u>	Clarification on HasEffect for Events	Stated that if an EventType is referenced, Events shall be generated when Transition is triggered (see 4.4.14)
<u>5683</u>	Clarification on Subtyping StateMachines	Changed wording on subtyping (see 4.4.18) requiring that States and Transitions are repeated on subtypes. Clarified usage of Nodelds for current State (see 4.4.6).
		Changed wording of HasSubStateMachine ReferenceType (see 4.4.15) and added example of how to subtype StateMachines with SubStateMachines (see 4.5.5).
<u>5682</u>	Clarification on ModellingRules for States in StateMachines	Added clarifying text to StateType (see 4.4.8) and TransitionType (see 4.4.10).
<u>5814</u>	Missing relation of types to conformance units and profiles	Added new rows to all tables referencing conformance units.

OPC Unified Architecture Specification

Part 16: State Machines

1 Scope

This part of the OPC Unified Architecture defines an Information Model. The Information Model describes the basic infrastructure to model state machines.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments and errata) applies.

OPC 10000-1, OPC Unified Architecture - Part 1: Concepts

http://www.opcfoundation.org/UA/Part1/

- OPC 10000-3, OPC Unified Architecture Part 3: Address Space Model http://www.opcfoundation.org/UA/Part3/
- OPC 10000-4, OPC Unified Architecture Part 4: Services http://www.opcfoundation.org/UA/Part4/
- OPC 10000-5, OPC Unified Architecture Part 5: Information Model http://www.opcfoundation.org/UA/Part5/
- OPC 10000-6, OPC Unified Architecture Part 6: Mappings http://www.opcfoundation.org/UA/Part6/
- OPC 10000-7, OPC Unified Architecture Part 7: Profiles http://www.opcfoundation.org/UA/Part7/
- OPC 10000-9, OPC Unified Architecture Part 9: Alarms and conditions http://www.opcfoundation.org/UA/Part9/
- OPC 10000-10, OPC Unified Architecture Part 10: Programs http://www.opcfoundation.org/UA/Part10/

3 Terms, definitions, abbreviated terms and conventions

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in OPC 10000-1, OPC 10000-3, and OPC 10000-5 apply.

4 State Machine Model

4.1 General

This document describes the basic infrastructure to model state machines. It defines *ObjectTypes*, *VariableTypes* and *ReferenceTypes* and explains how they should be used.

This document is an integral part of this standard, that is, the types defined in this document shall be used as defined. However, it is not required but strongly recommended that a Server

uses these types to expose its state machines. The defined types may be subtyped to refine their behaviour.

When a *Server* exposes its state machine using the types defined in this document, it might only provide a simplified view on its internal state machine, hiding for example substates or putting several internal states into one exposed state.

The scope of the state machines described in this document is to provide an appropriate foundation for state machines needed for OPC 10000-9 and OPC 10000-10. It does not provide more complex functionality of a state machine like parallel states, forks and joins, history states, choices and junctions, etc. However, the base state machine defined in this document can be extended to support such concepts.

The following clauses describe examples of state machines, define state machines in the context of this document and define the representation of state machines in OPC UA. Finally, some examples of state machines, represented in OPC UA, are given.

4.2 Examples of finite state machines

4.2.1 Simple state machine

The following example provides an overview of the base features that the state machines defined in this annex will support. In the following, a more complex example is given, that also supports sub-state machines.

Figure 1 gives an overview over a simple state machine. It contains the three states "State1", "State2" and "State3". There are transitions from "State1" to "State2", "State2" to "State2", etc. Some of the transitions provide additional information with regard to what causes (or triggers) the transition, for example the call of "Method1" for the transition from "State1" to "State2". The effect (or action) of the transition can also be specified, for example the generation of an *Event* of the "EventType1" in the same transition. The notation used to identify the cause is simply listing it on the transition, the effect is prefixed with a "/". More than one cause or effect are separated by a ",". Not every transition has to have a cause or effect, for example the transition between "State2" and "State3".

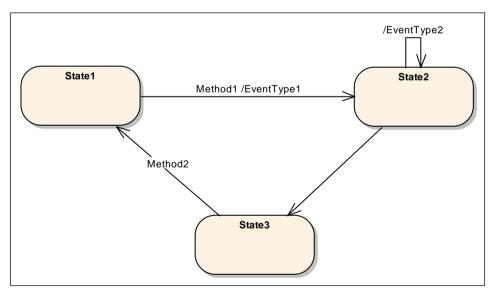


Figure 1 – Example of a simple state machine

For simplicity, the state machines described in this annex will only support causes in form of specifying *Methods* that have to be called and effects in form of *EventTypes* of *Events* that are generated. However, the defined infrastructure allows extending this to support additional different causes and effects.

4.2.2 State machine containing substates

Figure 2 shows an example of a state machine where "State6" is a sub-state-machine. This means, that when the overall state machine is in State6, this state can be distinguished to be in the sub-states "State7" or "State8". Sub-state-machines can be nested, that is, "State7" could be another sub-state-machine.

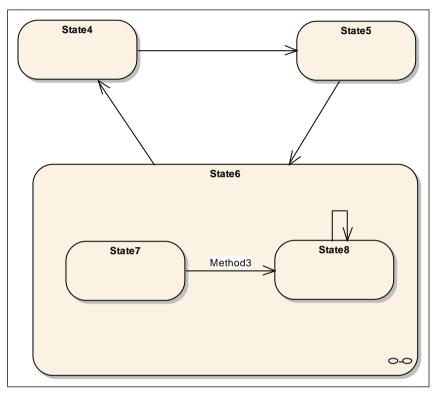


Figure 2 – Example of a state machine having a sub-machine

4.3 Definition of state machine

The infrastructure of state machines defined in this annex only deals with the basics of state machines needed to support OPC 10000-9 and OPC 10000-10. The intention is to keep the basic simple but extensible.

For the state machines defined in this annex we assume that state machines are typed and instances of a type have their states and semantics specified by the type. For some types, this means that the states and transitions are fixed. For other types the states and transitions may be dynamic or unknown. A state machine where all the states are specified explicitly by the type is called a finite state machine.

Therefore, we distinguish between *StateMachineType* and *StateMachine* and their subtypes like *FiniteStateMachineType*. The *StateMachineType* specifies a description of the state machine, that is, its states, transitions, etc., whereas the *StateMachine* is an instance of the *StateMachineType* and only contains the current state.

Each *StateMachine* contains information about the current state. If the *StateMachineType* has *SubStateMachines*, the *StateMachine* also contains information about the current state of the *SubStateMachines*. *StateMachines* which have their states completely defined by the type are instances of a *FiniteStateMachineType*.

Each *FiniteStateMachineType* has one or more *States*. For simplicity, we do not distinguish between different *States* like the start or the end states.

Each State can have one or more SubStateMachines.

Each *FiniteStateMachineType* may have one or more *Transitions*. A *Transition* is directed and points from one *State* to another *State*.

Each *Transition* can have one or more *Causes*. A *Cause* leads a *FiniteStateMachine* to change its current *State* from the source of the *Transition* to its target. In this annex we only specify *Method* calls to be *Causes* of *Transitions*. *Transitions* do not have to have a *Cause*. A *Transition* can always be caused by some server-internal logic that is not exposed in the *AddressSpace*.

Each *Transition* can have one or more *Effects*. An *Effect* occurs if the *Transition* is used to change the *State* of a *StateMachine*. In this annex we only specify the generation of *Events* to be *Effects* of a *Transition*. A *Transition* is not required to expose any *Effects* in the *AddressSpace*.

Although this annex only specifies simple concepts for state machines, the provided infrastructure is extensible. If needed, special *States* can be defined as well as additional *Causes* or *Effects*.

4.4 Representation of state machines in the AddressSpace

4.4.1 Overview

The types defined in this annex are illustrated in Figure 3. The *MyFiniteStateMachineType* is a minimal example which illustrates how these *Types* can be used to describe a *StateMachine*. See OPC 10000-9 and OPC 10000-10 for additional examples of *StateMachines*.

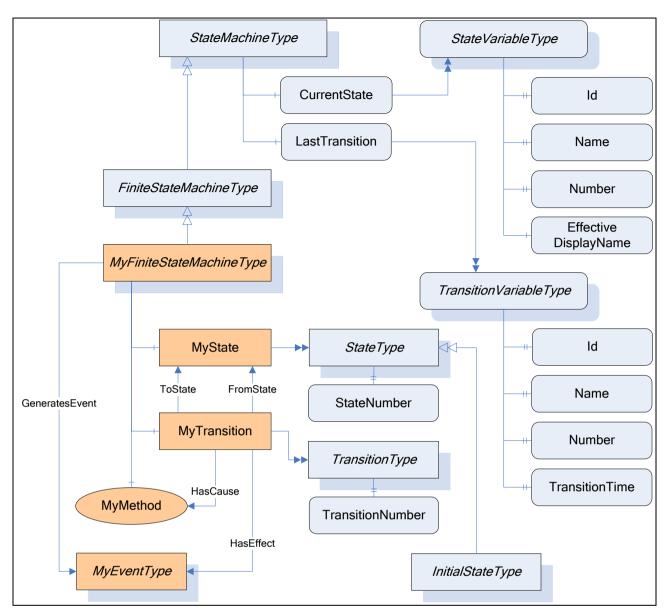


Figure 3 – The StateMachine Information Model

4.4.2 StateMachineType

The StateMachineType is the base ObjectType for all StateMachineTypes. It defines a single Variable which represents the current state of the machine. An instance of this ObjectType shall generate an *Event* whenever a significant state change occurs. The Server decides which state changes are significant. Servers shall use the GeneratesEvent ReferenceType to indicate which *Event(s)* could be produced by the StateMachine.

Subtypes may add *Methods* which affect the state of the machine. The *Executable Attribute* is used to indicate whether the *Method* is valid given the current state of the machine. The generation of *AuditEvents* for *Methods* is defined in OPC 10000-4. A *StateMachine* may not be active. In this case, the *CurrentState* and *LastTransition Variables* shall have a status equal to *Bad_StateNotActive* (see Table 17).

Subtypes may add components which are instances of *StateMachineTypes*. These components are considered to be sub-states of the *StateMachine*. *SubStateMachines* are only active when the parent machine is in an appropriate state.

Events produced by *SubStateMachines* may be suppressed by the parent machine. In some cases, the parent machine will produce a single *Event* that reflects changes in multiple *SubStateMachines*.

5

FiniteStateMachineType is subtype of *StateMachineType* that provides a mechanism to explicitly define the states and transitions. A *Server* should use this mechanism if it knows what the possible states are and the state machine is not trivial. The *FiniteStateMachineType* is defined in clause 4.4.5.

The StateMachineType is formally defined in Table 1

Attribute	Value	Value						
BrowseName	StateMachi	StateMachineType						
IsAbstract	False							
References	Node Class	BrowseName	DataType	TypeDefinition	Modelling Rule			
		efined in OPC 10000-5 /pe is not shown in the definit	tion of the BaseObj	ectType.				
HasSubtype	ObjectType	FiniteStateMachineType	Defined in 4.4.5					
HasComponent	Variable	CurrentState	LocalizedText	StateVariableType	Mandatory			
HasComponent	Variable	LastTransition	LocalizedText	TransitionVariableType	Optional			
Conformance Un	its							
Base Info State M	achine Instance							

Table 1 – StateMachineType definition

CurrentState stores the current state of an instance of the *StateMachineType*. *CurrentState* provides a human readable name for the current state which may not be suitable for use in application control logic. Applications should use the *Id Property* of *CurrentState* if they need a unique identifier for the state.

LastTransition stores the last transition which occurred in an instance of the StateMachineType. LastTransition provides a human readable name for the last transition which may not be suitable for use in application control logic. Applications should use the *Id Property* of LastTransition if they need a unique identifier for the transition.

4.4.3 StateVariableType

The *StateVariableType* is the base *VariableType* for *Variables* that store the current state of a *StateMachine* as a human readable name.

The *StateVariableType* is formally defined in Table 2.

Attribute	Value	Value						
BrowseName	StateVariab	StateVariableType						
DataType	LocalizedTe	ext						
ValueRank	−1 (−1 = Sc	alar)						
IsAbstract	False	· · · ·						
References	Node BrowseName DataType TypeDefinition Class							
		Type defined in OPC 10000-5 ype is not shown in the definition	of the BaseDataVariab	oleType.				
HasSubtype	VariableType	FiniteStateVariableType	Defined in 4.4.6					
HasProperty	Variable	ld	BaseDataType	PropertyType	Mandatory			
HasProperty	Variable	Name	QualifiedName	PropertyType	Optional			
HasProperty	Variable	Number	UInt32	PropertyType	Optional			
HasProperty	Variable	EffectiveDisplayName	LocalizedText PropertyType Optional					
Conformance U	nits	•		•	•			
Base Info State M	Machine Instance							

Id is a name which uniquely identifies the current state within the *StateMachineType*. A subtype may restrict the *DataType*.

Name is a QualifiedName which uniquely identifies the current state within the StateMachineType.

Number is an integer which uniquely identifies the current state within the *StateMachineType*.

EffectiveDisplayName contains a human readable name for the current state of the state machine after taking the state of any *SubStateMachines* in account. There is no rule specified for which state or sub-state should be used. It is up to the *Server* and will depend on the semantics of the *StateMachineType*.

StateMachines produce Events which may include the current state of a StateMachine. In that case Servers shall provide all the optional Properties of the StateVariableType in the Event, even if they are not provided on the instances in the AddressSpace.

4.4.4 TransitionVariableType

The *TransitionVariableType* is the base *VariableType* for *Variables* that store a *Transition* that occurred within a *StateMachine* as a human readable name.

The *SourceTimestamp* for the value specifies when the *Transition* occurred. This value may also be exposed with the *TransitionTime Property*.

The *TransitionVariableType* is formally defined in Table 3.

Attribute	Value	Value					
BrowseName	Transition	TransitionVariableType					
DataType	Localized	Text					
ValueRank	-1 (-1 = \$	Scalar)					
IsAbstract	False						
References	Node Class	BrowseName	DataType	TypeDefinition	Modelling Rule		
Note that a Ref	erence to this subt	Type defined in OPC 10000-5 ype is not shown in the definition of		oleType.			
HasSubtype	VariableType	FiniteTransitionVariableType	Defined in 4.4.7				
HasProperty	Variable	ld	BaseDataType PropertyType M		Mandatory		
HasProperty	Variable	Name	QualifiedName	PropertyType	Optional		
HasProperty	Variable	Number	UInt32	PropertyType	Optional		
HasProperty	Variable	TransitionTime	UtcTime	PropertyType	Optional		
HasProperty	Variable	EffectiveTransitionTime	UtcTime PropertyType Optional				
Conformance	Units	•	-				
Base Info State	Machine Instance						

Table 3 – TransitionVariableType definition

Id is a name which uniquely identifies a *Transition* within the *StateMachineType*. A subtype may restrict the *DataType*.

Name is a *QualifiedName* which uniquely identifies a transition within the *StateMachineType*.

Number is an integer which uniquely identifies a transition within the *StateMachineType*.

TransitionTime specifies when the transition occurred.

EffectiveTransitionTime specifies the time when the current state or one of its substates was entered. If, for example, a StateA is active and – while active – switches several times between its substates SubA and SubB, then the *TransitionTime* stays at the point in time where StateA became active whereas the *EffectiveTransitionTime* changes with each change of a substate.

4.4.5 FiniteStateMachineType

The *FiniteStateMachineType* is the base *ObjectType* for *StateMachines* that explicitly define the possible *States* and *Transitions*. Once the *States* and *Transitions* are defined subtypes shall not add new *States* and *Transitions* (see 4.4.18). *Subtypes* may add causes or effects.

The States of the machine are represented with instances of the StateType ObjectType. Each State shall have a BrowseName which is unique within the StateMachine and shall have a StateNumber which shall also be unique across all States defined in the StateMachine. Be aware that States in a SubStateMachine may have the same StateNumber or BrowseName as

States in the parent machine. A concrete subtype of *FiniteStateMachineType* shall define at least one *State*.

A *StateMachine* may define one *State* which is an instance of the *InitialStateType*. This *State* is the *State* that the machine goes into when it is activated.

The *Transitions* that may occur are represented with instances of the *TransitionType*. Each *Transition* shall have a *BrowseName* which is unique within the *StateMachine* and may have a *TransitionNumber* which shall also be unique across all *Transitions* defined in the *StateMachine*.

The initial State for a Transition is a StateType Object which is the target of a FromState Reference. The final State for a Transition is a StateType Object which is the target of a ToState Reference. The FromState and ToState References shall always be specified.

A *Transition* may produce an *Event*. The *Event* is indicated by a *HasEffect Reference* to a subtype of *BaseEventType*. The *StateMachineType* shall have *GeneratesEvent References* to the targets of a *HasEffect Reference* for each of its *Transitions*.

A *FiniteStateMachineType* may define *Methods* that cause a transition to occur. These *Methods* are targets of *HasCause References* for each of the *Transitions* that may be triggered by the *Method*. The *Executable Attribute* for a *Method* is used to indicate whether the current *State* of the machine allows the *Method* to be called.

A *FiniteStateMachineType* may have sub-state-machines which are represented as instances of *StateMachineType ObjectTypes*. Each *State* shall have a *HasSubStateMachine Reference* to the *StateMachineType Object* which represents the child *States*. The *SubStateMachine* is not active if the parent *State* is not active. In this case the *CurrentState* and *LastTransition Variables* of the *SubStateMachine* shall have a status equal to *Bad_StateNotActive* (see Table 17).

The *FiniteStateMachineType* is formally defined in Table 4.

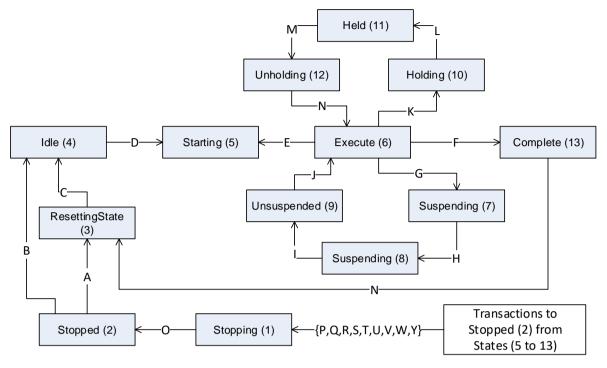
Attribute		Value				
BrowseName	FiniteStateMachineType					
IsAbstract		True				
References	Node Class	-	BrowseName DataType TypeDefinition M R			
Subtype of the StateMachineType defined in 4.4.2						
HasComponent	Varia	ble	CurrentState	LocalizedText	FiniteStateVariableType	Mandatory
HasComponent	Varia	ble	LastTransition	LocalizedText	FiniteTransitionVariableType	Optional
HasComponent	Varia	ble	AvailableStates	Nodeld[]	BaseDataVariableType	Optional
HasComponent	Varia	ble	AvailableTransitions	Nodeld[]	BaseDataVariableType	Optional
Conformance Un	its					
Base Info Finite St	ate Ma	chine Ir	nstance			
Base Info Available States and Transitions						

Table 4 – FiniteStateMachineType definition

In some Servers an instance of a StateMachine may restrict the States and / or Transitions that are available. These restrictions may result from the internal design of the instance. For example, the StateMachine for an instrument's limit alarm which only supports Hi and HiHi and can not produce a Low or LowLow. An instance of a StateMachine may also dynamically change the available States and/or Transitions based on its operating mode. For example, when a piece of equipment is in a maintenance mode the available States may be limited to some subset of the States available during normal operation.

The AvailableStates Variable provides a Nodeld list of the States that are present in the StateMachine instance. The list may change during operation of the Server.

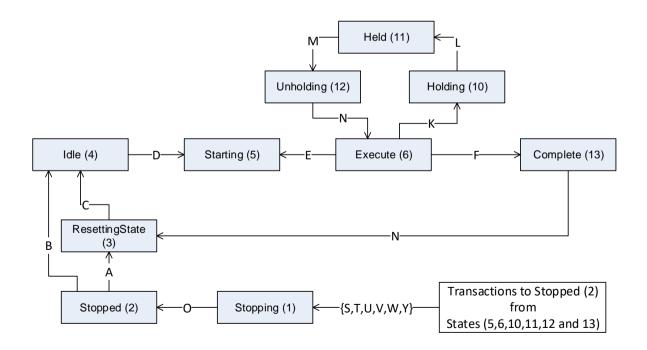
The AvailableTransitions Variable provides a Nodeld list of the Transitions that are present in the StateMachine instance. The list may change during operation of the Server.



An example of a FiniteStateMachine type is shown in Figure 4.

Figure 4 – Example of a FiniteStateMachine type

An example instance of the type is shown in Figure 5. In this example the *States* $\{7,8,9\}$ and the *Transitions* $\{G,H,I,J\}$ are not available in this instance.





4.4.6 FiniteStateVariableType

The *FiniteStateVariableType* is a subtype of *StateVariableType* and is used to store the current state of a Finite*StateMachine* as a human readable name.

The FiniteStateVariableType is formally defined in Table 5.

9

Attribute	Value	Value						
BrowseName	FiniteState	eVariableType						
DataType	Localized	Text						
ValueRank	-1 (-1 = 5	Scalar)						
IsAbstract	False							
References	Node Class	BrowseName	DataType	TypeDefinition	Modelling Rule			
Subtype of the	StateVariable7	ype defined 4.4.3						
HasProperty	Variable							
Conformance	Jnits							
Base Info Finite	State Machine	Instance						

Table 5 – FiniteStateVariableType definition

Id is inherited from the State VariableType and overridden to reflect the required DataType. This value shall be the Nodeld of one of the State Objects of the FiniteStateMachineType. If the FiniteStateMachine is subtyped, it shall be the Nodeld of the State defined on the supertype, i.e., where the State is defined the first time in the type hierarchy.

The Name Property is inherited from StateVariableType. Its Value shall be the BrowseName of one of the State Objects of the FiniteStateMachineType.

The Number Property is inherited from StateVariableType. Its Value shall be the StateNumber for one of the State Objects of the FiniteStateMachineType.

4.4.7 FiniteTransitionVariableType

The *FiniteTransitionVariableType* is a subtype of *TransitionVariableType* and is used to store a *Transition* that occurred within a *FiniteStateMachine* as a human readable name.

The *FiniteTransitionVariableType* is formally defined in Table 6.

Attribute	Value				
BrowseName	FiniteTransit	ionVariableType			
DataType	LocalizedTex	xt			
ValueRank	−1 (−1 = Sca	alar)			
IsAbstract	False				
References	Node Class	BrowseName	DataType	TypeDefinition	Modelling Rule
		leType defined in 4.4.4 btype is not shown in the definition of	the BaseDataVaria	ableType.	
HasProperty	Variable	ld	Nodeld	PropertyType	Mandatory
Conformance Ur	nits				
Base Info Finite S	tate Machine I	nstance			

Table 6 – FiniteTransitionVariableType definition

Id is inherited from the *TransitionVariableType* and overridden to reflect the required *DataType*. This value shall be the *NodeId* of one of the *Transition Objects* of the *FiniteStateMachineType*. If the *FiniteStateMachine* is subtyped, it shall be the *NodeId* of the *Transition* defined on the supertype, i.e., where the *Transition* is defined the first time in the type hierarchy.

The Name Property is inherited from the TransitionVariableType. Its Value shall be the BrowseName of one of the Transition Objects of the FiniteStateMachineType.

The Number Property is inherited from the TransitionVariableType. Its Value shall be the TransitionNumber for one of the Transition Objects of the FiniteStateMachineType.

4.4.8 StateType

States of a FiniteStateMachine are represented as Objects of the StateType. Each Object of the StateType or one of its subtypes shall be referenced from the ObjectType FiniteStateMachineType or one of its subtypes using a HasComponent Reference or a subtype of HasComponent and shall not have a ModellingRule as they are not applied on the instances.

The StateType is formally defined in Table 7.

Attribute	Value				
BrowseName	StateType				
IsAbstract	False				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
		fined in OPC 10000-5			
Note that a Referen	nce to this subty	pe is not shown in the definition	on of the BaseOb	ojectType.	
HasProperty	Variable	StateNumber	UInt32	PropertyType	Mandatory
HasSubtype	ObjectType	InitialStateType	Defined in 4.4	.9	
HasSubtype	ObjectType	ChoiceStateType	Defined in 4.6	2	
Conformance Unit	ts				
Base Info Finite Sta	ate Machine Inst	ance			

Table 7 – StateType definition

4.4.9 InitialStateType

The *InitialStateType* is a subtype of the *StateType* and is formally defined in Table 8. An *Object* of the *InitialStateType* represents the *State* that a *FiniteStateMachine* enters when it is activated. Each *FiniteStateMachine* can have at most one *State* of type *InitialStateType*, but a *FiniteStateMachine* does not have to have a *State* of this type.

A SubStateMachine goes into its initial state whenever the parent state is entered. However, a state machine may define a transition that goes directly to a state of the SubStateMachine. In this case the SubStateMachine goes into that State instead of the initial State. The two scenarios are illustrated in Figure 6. The transition from State5 to State6 causes the SubStateMachine to go into the initial State (State7), however, the transition from State4 to State8 causes the parent machine to go to State6 and the SubStateMachine will go to State8.

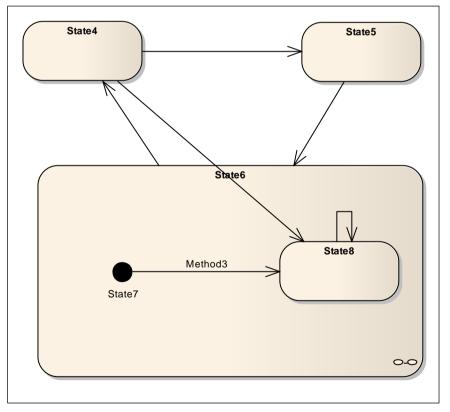


Figure 6 – Example of an initial State in a sub-machine

If no initial state for a *SubStateMachine* exists and the *State* having the *SubStateMachine* is entered directly, then the *State* of the *SubStateMachine* is server-specific.

Attribute		Value	Value			
BrowseName		InitialStateType				
IsAbstract		False				
References	Nod	eClass	BrowseName	DataType	TypeDefinition	ModellingRule
Subtype of the S	StateType	defined i	n 4.4.8			
Conformance L	Inits					
Base Info Finite	State Ma	chine Inst	ance			

Table 8 – InitialStateType definition

4.4.10 **TransitionType**

Transitions of a FiniteStateMachine are represented as Objects of the ObjectType TransitionType formally defined in 4.4.10. Each Object of the TransitionType or one of its subtypes shall be referenced from the ObjectType FiniteStateMachineType or one of its subtypes using a HasComponent Reference or a subtype of HasComponent and shall not have a *ModellingRule* as they are not applied on the instances.

Each valid Transition shall have exactly one FromState Reference and exactly one ToState Reference, each pointing to an Object of the ObjectType StateType.

Each Transition can have one or more HasCause References pointing to the cause that triggers the Transition.

Each Transition can have one or more HasEffect References pointing to the effects that occur when the Transition was triggered.

Attribute	ute Value						
BrowseName TransitionType							
IsAbstract False							
References NodeCla		BrowseName	DataType	TypeDefinition	ModellingRule		
Subtype of the BaseObjectType defined in OPC 10000-5 Note that a <i>Reference</i> to this subtype is not shown in the definition of the BaseObjectType.							
HasProperty	Variable TransitionNumber UInt32 PropertyType Mandatory				Mandatory		
Conformance L	Inits						
Base Info Finite	State Machine	Instance					

Table 9 – TransitionType definition

Base Info Finite State Machine Instance

4.4.11 **FromState**

The FromState ReferenceType is a concrete ReferenceType and can be used directly. It is a subtype of NonHierarchicalReferences.

The semantic of this ReferenceType is to point form a Transition to the starting State the Transition connects.

The SourceNode of this ReferenceType shall be an Object of the ObjectType TransitionType or one of its subtypes. The TargetNode of this ReferenceType shall be an Object of the ObjectType StateType or one of its subtypes.

The representation of the *FromState ReferenceType* in the *AddressSpace* is specified in Table 10.

Attributes	Value			
BrowseName	FromState			
InverseName	ToTransition			
Symmetric	False			
IsAbstract	False			
References	NodeClass	BrowseName	Comment	
Conformance Uni	its			
	ate Machine Instance			

Table 10 – FromState ReferenceType

4.4.12 ToState

The *ToState ReferenceType* is a concrete *ReferenceType* and can be used directly. It is a subtype of *NonHierarchicalReferences*.

The semantic of this *ReferenceType* is to point form a *Transition* to the ending *State* the *Transition* connects.

The SourceNode of this ReferenceType shall be an Object of the ObjectType TransitionType or one of its subtypes. The TargetNode of this ReferenceType shall be an Object of the ObjectType StateType or one of its subtypes.

References of this *ReferenceType* may be only exposed uni-directional. Sometimes this is required, for example, if a *Transition* points to a *State* of a sub-machine.

The representation of the *ToState ReferenceType* in the *AddressSpace* is specified in Table 11.

Attributes	Value			
BrowseName	ToState			
InverseName	FromTransition			
Symmetric	False			
IsAbstract	False			
References	NodeClass	BrowseName	Comment	
Conformance Un	its			
Base Info Finite St	ate Machine Instance			

Table 11 – ToState ReferenceType

4.4.13 HasCause

The HasCause ReferenceType is a concrete ReferenceType and can be used directly. It is a subtype of NonHierarchicalReferences.

The semantic of this *ReferenceType* is to point from a *Transition* to something that causes the *Transition*. In this annex we only define *Methods* as *Causes*. However, the *ReferenceType* is not restricted to point to *Methods*. The referenced Methods can, but do not have to point to a Method of the StateMachineType. For example, it is allowed to point to a server-wide restart Method leading the state machine to go into its initial state.

The SourceNode of this ReferenceType shall be an Object of the ObjectType TransitionType or one of its subtypes. The TargetNode can be of any NodeClass.

The representation of the *HasCause ReferenceType* in the *AddressSpace* is specified in Table 12.

Attributes	Value		
BrowseName	HasCause		
InverseName	MayBeCausedBy		
Symmetric	False		
IsAbstract	False		
References	NodeClass	BrowseName	Comment
Conformance Units			·
Base Info Finite State	Machine Instance		

Table 12 – HasCause ReferenceType

4.4.14 HasEffect

The *HasEffect ReferenceType* is a concrete *ReferenceType* and can be used directly. It is a subtype of *NonHierarchicalReferences*.

The semantic of this *ReferenceType* is to point from a *Transition* to something that will be effected when the *Transition* is triggered. In this annex we only define *EventTypes* as *Effects*. However, the *ReferenceType* is not restricted to point to *EventTypes*.

The SourceNode of this ReferenceType shall be an Object of the ObjectType TransitionType or one of its subtypes. The TargetNode can be of any NodeClass.

If the *TargetNode* is an *EventType*, each time the *Transition* is triggered (either by a *Client* or internally in the *Server*) an *Event* of that *EventType* or a subtype shall be generated.

The representation of the *HasEffect ReferenceType* in the *AddressSpace* is specified in Table 13.

Attributes	Value			
BrowseName	HasEffect			
InverseName	MayBeEffectedBy	y		
Symmetric	False			
IsAbstract	False			
References	NodeClass	BrowseName	Comment	
Conformance Un	its			
Base Info Finite St	tate Machine Instance			

Table 13 – HasEffect ReferenceType

4.4.15 HasSubStateMachine

The *HasSubStateMachine ReferenceType* is a concrete *ReferenceType* and can be used directly. It is a subtype of *NonHierarchicalReferences*.

The semantic of this *ReferenceType* is to point from a *State* to an instance of a *StateMachineType* which represents the sub-states for the *State*.

The SourceNode of this ReferenceType shall be an Object of the ObjectType StateType. The TargetNode shall be an Object of the ObjectType StateMachineType or one of its subtypes. Each Object can be the TargetNode of at most one HasSubStateMachine Reference.

The SourceNode (the state) and the TargetNode (the SubStateMachine) shall belong to the same StateMachine. Therefore, the SourceNode shall be referenced from the ObjectType FiniteStateMachineType or one of its subtypes and the TargetNode shall be referenced from the same ObjectType, both using a HasComponent Reference or a subtype of HasComponent.

The representation of the *HasSubStateMachine ReferenceType* in the *AddressSpace* is specified in Table 14.

Attributes	Value		
BrowseName	HasSubStateMac	hine	
InverseName	SubStateMachine	of	
Symmetric	False		
IsAbstract	False		
References	NodeClass	BrowseName	Comment
Conformance Un	its		
Base Info Finite St	tate Machine Instance		

Table 14 – HasSubStateMachine ReferenceType

4.4.16 TransitionEventType

The *TransitionEventType* is a subtype of the *BaseEventType*. It can be used to generate an *Event* identifying that a *Transition* of a *StateMachine* was triggered. It is formally defined in Table 15.

Attribute	Value	Value						
BrowseNam e	Trans	itionEventType						
IsAbstract	True							
References		NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule		
Subtype of the	base B	aseEventType de	efined in OPC 10000-	5	-			
HasComponer	nt	Variable	Transition	LocalizedText	TransitionVariableType	Mandatory		
HasComponer	nt	Variable	FromState	LocalizedText	StateVariableType	Mandatory		
HasComponer	nt	Variable	ToState	LocalizedText	StateVariableType	Mandatory		
Conformance	Units							
Base Info Finit	e State	Machine Instance	Э					

Table 15 – TransitionEventType

The *TransitionEventType* inherits the *Properties* of the *BaseEventType*.

The inherited *Property SourceNode* shall be filled with the *NodeId* of the *StateMachine* instance where the *Transition* occurs. If the *Transition* occurs in a *SubStateMachine*, then the *NodeId* of the *SubStateMachine* has to be used. If the Transition occurs between a *StateMachine* and a *SubStateMachine*, then the *NodeId* of the *StateMachine* has to be used, independent of the direction of the *Transition*.

Transition identifies the Transition that triggered the Event.

FromState identifies the State before the Transition.

ToState identifies the State after the Transition.

4.4.17 AuditUpdateStateEventType

The AuditUpdateStateEventType is a subtype of the AuditUpdateMethodEventType. It can be used to generate an *Event* identifying that a *Transition* of a *StateMachine* was triggered. It is formally defined in Table 16.

Attribute	Value				
BrowseName	AuditUp	dateStateEventType			
IsAbstract	True				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
Subtype of the A	uditUpdateMetho	dEventType defined in (OPC 10000-5		·
HasProperty	Variable	OldStateId	BaseDataType	PropertyType	Mandatory
HasProperty	Variable	NewStateId	BaseDataType	PropertyType	Mandatory
Conformance U	nits		••		•
Auditing Base					

Table	16 –	AuditUpdateStateEventType
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The AuditUpdateStateEventType inherits the Properties of the AuditUpdateMethodEventType.

The inherited *Property SourceNode* shall be filled with the *NodeId* of the *StateMachine* instance where the *State* changed. If the *State* changed in a *SubStateMachine*, then the *NodeId* of the *SubStateMachine* has to be used.

The *SourceName* for *Events* of this type should be the effect that generated the event (e.g. the name of a Method). If the effect was generated by a *Method* call, the *SourceName* should be the name of the *Method* prefixed with "Method/".

OldStateId reflects the Id of the state prior the change.

NewStateId reflects the new *Id* of the state after the change.

4.4.18 Special Restrictions on subtyping StateMachines

In general, all rules on subtyping apply for *StateMachine* types as well. Some additional rules apply for *StateMachine* types.

States and Transitions are not instantiated, this information is only provided on the ObjectType. They have no ModellingRule, and thus, also the inheritance of States and Transitions is not defined. Therefore, the following rules apply for subtyping StateMachines. Each State and Transition defined on the supertype shall be available on the subtype as well. That is, for each State defined on the supertype another Node of the same ObjectType having the same BrowseName and the same StateNumber shall be defined on the subtype. For each Transition defined on the supertype another Node of the same ObjectType having the same BrowseName and the same StateNumber shall be defined on the subtype. For each Transition defined on the supertype another Node of the same ObjectType having the same BrowseName and the same TransitionNumber shall be defined on the subtype. All references defining the StateMachine (HasCause, HasEffect, FromState, ToState, HasSubStateMachine) shall be replicated in the subtype as well. If InstanceDeclarations are referenced (e.g., Methods used to trigger Transitions) either the InstanceDeclaration of the supertype is referenced or the InstanceDeclaration is overridden, and in the latter case the overridden InstanceDeclaration of the subtype shall be referenced.

If a StateMachine type is not abstract, subtypes of it shall not change the behaviour of it. That means, that in this case a subtype shall not add *States* and it shall not add *Transitions* between its *States*. However, a subtype may add *SubStateMachines*, it may add *Transitions* from the *States* to the *States* of the *SubStateMachine*, and it may add *Causes* and *Effects* to a *Transition*. In addition, a subtype of a *StateMachine* type shall not remove *States* or *Transitions*.

4.4.19 Specific StatusCodes for StateMachines

In Table 17 specific *StatusCodes* used for *StateMachines* are defined.

Table 17 – Specific StatusCodes for StateMachines

Symbolic Id	Description
Bad_StateNotActive	The accessed state is not active.

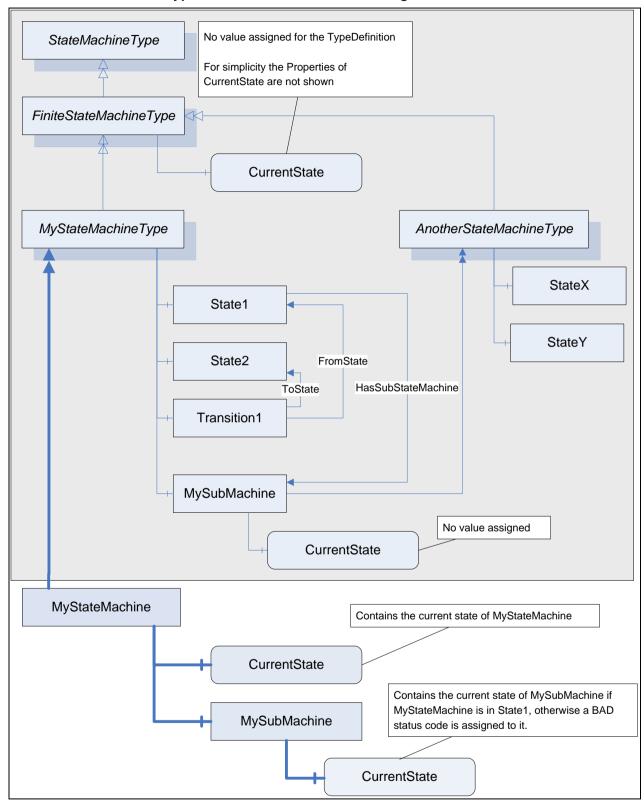
StateMachineType CurrentState FiniteStateMachineType No value assigned for the TypeDefinition For simplicity the Properties of CurrentState are not shown *MyStateMachineType* GeneratesEvent State1 StateType State2 FromState 4 ToState Transition1 TransitionType HasCause HasEffect EventType1 MyMethod Contains the current state of MyStateMachine **MyStateMachine MyMethod** CurrentState

4.5 Examples of StateMachines in the AddressSpace4.5.1 StateMachineType using inheritance

Figure 7 – Example of a StateMachineType using inheritance

In Figure 7 an example of a *StateMachine* is given using the Notation defined in OPC 10000-3. First, a new *StateMachineType* is defined, called "MyStateMachineType", inheriting from the base *FiniteStateMachineType*. It contains two *States*, "State1" and "State2" and a *Transition* "Transition1" between them. The *Transition* points to a *Method* "MyMethod" as the *Cause* of the *Transition* and an *EventType* "EventType1" as the *Effect* of the *Transition*.

Instances of "MyStateMachineType" can be created, for example "MyStateMachine". It has a *Variable* "CurrentState" representing the current *State*. The "MyStateMachine" *Object* only includes the *Nodes* which expose information specific to the instance.



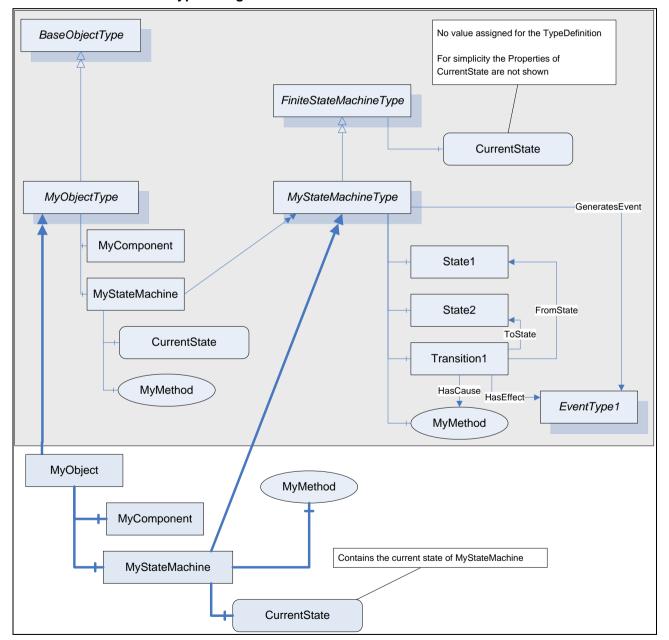
4.5.2 StateMachineType with a SubStateMachine using inheritance

Figure 8 – Example of a StateMachineType with a SubStateMachine using inheritance

Figure 8 gives an example of a *StateMachineType* having a *SubStateMachine* for its "State1". For simplicity no effects and causes are shown, as well as type information for the *States* or *ModellingRules*.

The "MyStateMachineType" contains an Object "MySubMachine" of type "AnotherStateMachineType" representing a SubStateMachine. The "State1" references this Object with a HasSubStateMachine Reference, thus it is a SubStateMachine of "State1". Since "MySubMachine" is an Object of type "AnotherStateMachineType" it has a Variable representing the current State. Since it is used as an InstanceDeclaration, no value is assigned to this Variable.

An Object of "MyStateMachineType", called "MyStateMachine" has Variables for the current State, but also has an Object "MySubMachine" and a Variable representing the current state of the SubStateMachine. Since the SubStateMachine is only used when "MyStateMachine" is in "State1", a client would receive a Bad_StateNotActive StatusCode when reading the SubStateMachine CurrentState Variable if "MyStateMachine" is in a different State.



4.5.3 StateMachineType using containment

Figure 9 – Example of a StateMachineType using containment

Figure 9 gives an example of an *ObjectType* not only representing a *StateMachine* but also having some other functionality. The *ObjectType* "MyObjectType" has an *Object* "MyComponent" representing this other functionality. But it also contains a *StateMachine*

"MyStateMachine" of the type "MyStateMachineType". *Objects* of "MyObjectType" also contain such an *Object* representing the StateMachine and a *Variable* containing the current state of the StateMachine, as shown in the Figure.

4.5.4 Example of a StateMachine having Transition to SubStateMachine

The StateMachines shown so far only had *Transitions* between States on the same level, that is, on the same StateMachine. Of cause, it is possible and often required to have *Transitions* between States of the StateMachine and States of its SubStateMachine.

Because a *SubStateMachine* can be defined by another *StateMachineType* and this type can be used in several places, it is not possible to add a bi-directional *Reference* from one of the shared *States* of the *SubStateMachine* to another *StateMachine*. In this case it is suitable to expose the *FromState* or *ToState References* uni-directional, that is, only pointing from the *Transition* to the *State* and not being able to browse to the other direction. If a *Transition* points from a *State* of a *SubStateMachine* to a *State* of another sub-machine, both, the *FromState* and the *ToState Reference*, are handled uni-directional.

A Client shall be able to handle the information of a *StateMachine* if the *ToState* and *FromState References* are only exposed as forward *References* and the inverse *References* are omitted.

Figure 10 gives an example of a state machine having a transition from a sub-state to a state.

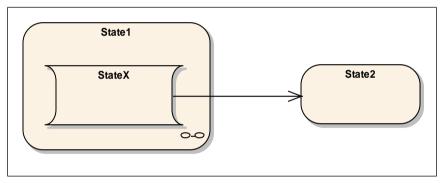


Figure 10 – Example of a StateMachine with Transitions from sub-states

In Figure 11 the representation of this example as *StateMachineType* in the *AddressSpace* is given. The "Transition1", part of the definition of "MyStateMachineType", points to the "StateX" of the *StateMachineType* "AnotherStateMachineType". The *Reference* is only exposed as forward *Reference* and the inverse *Reference* is omitted. Thus, there is no *Reference* from the "StateX" of "AnotherStateMachineType" to any part of "MyStateMachineType" and "AnotherStateMachineType" can be used in other places as well.

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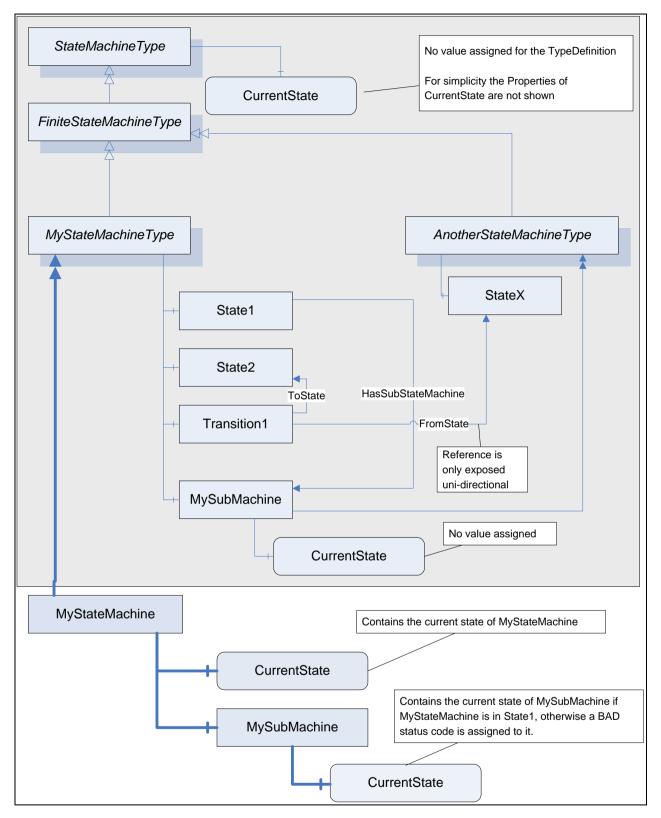


Figure 11 – Example of a StateMachineType having Transition to SubStateMachine

4.5.5 Example of a StateMachine adding a SubStateMachine on a Subtype

When a subtype of *FiniteStateMachineType* having *States* extends the *StateMachine*, it is not allowed to add additional *States*, but instead *SubStateMachines* can be added to existing *States*.

The example in Figure 12 shows a very simple *StateMachine* with two *States*.

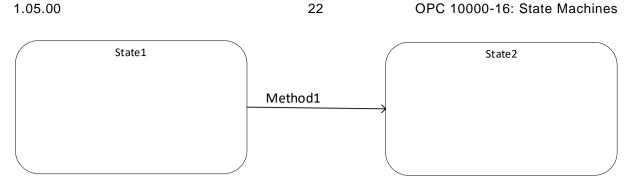


Figure 12 – Example of a StateMachine with two States

In Figure 13 the *StateMachine* of Figure 12 is extended by adding two <u>s</u>-ubstates to "State1".

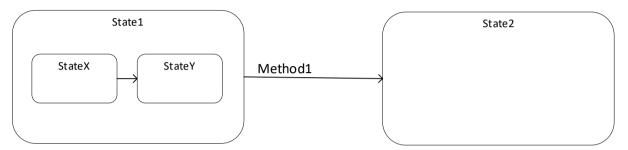


Figure 13 – Example of a StateMachine extended with two Substates

In Figure 14 the *StateMachine* of Figure 13 is extended by adding two <u>s</u>-ubstates to "State2", and an effect on the Transition between "State1" and "State2".

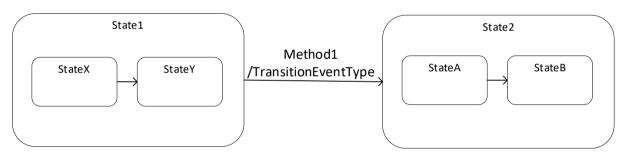
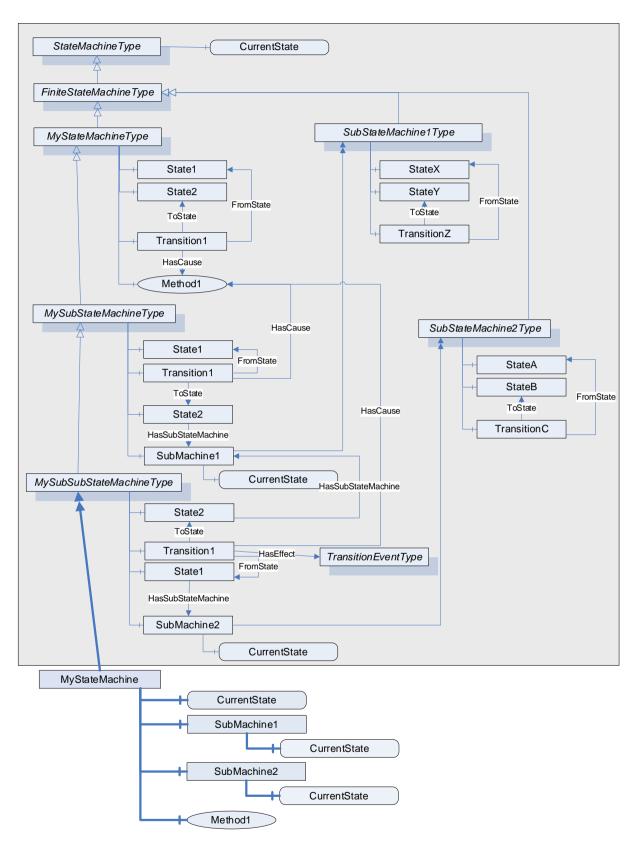


Figure 14 – Example of a StateMachine extended with another two Substates

In Figure 15 the representation of this example as *StateMachineType* in the *AddressSpace* is given. The "MyStateMachineType" defines the *StateMachine* of Figure 12, and the "MySubStateMachineType" is a subtype and extends the *StateMachine* with a *SubStateMachine* as defined in Figure 13. The "MySubSubStateMachineType" is another subtype as defined in Figure 14.

The States and Transitions of "MyStateMachineType" are replicated to "MySubStateMachineType" and "MySubSubStateMachineType". Since "Method1" is not overridden, the "Transition1" of all three types is referencing the Method of "MyStateMachineType". In "MySubStateMachineType", a SubStateMachine for "State1" was added, and in "MySubSubStateMachineType" a SubStateMachine for "State2". In addition, "MySubSubStateMachineType" adds an effect to "Transition1".

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4.6 StateMachine Extensions for ChoiceStates and Guards

4.6.1 Overview

This section describes extensions to the *StateMachine* model allowing choices and guards on *StateMachines*.

4.6.2 ChoiceStateType

The ChoiceStateType is a subtype of the StateType and is formally defined in Table 18. An Object of the ChoiceStateType represents a pseudo state that is directly exited when it is entered. The Guards defined on the Transitions from the ChoiceState determine which Transition is used. The Guards shall be defined in a way that at least one Guard is true and a Transition can be determined. If this is not the case, the StateMachine is non-well formed. To avoid this, the specific ElseGuardVariableType can be used, which is only "true" if all other Guards on a ChoiceState are "false". If several Guards are "true", only one of those Transitions is used. The algorithm to determine the Transition is server-specific.

A sample *StateMachine* using a *ChoiceState* is given in Figure 16. It provides a simplified representation of a robot. When the *StateMachine* is in the S1_Initial state, calling the Load() *Method* loads a program to the robot and triggers the *Transition* into the *ChoiceState* (CS). In the *ChoiceState* the *Guards* are validated and in case the robot is on the correct position for the loaded program (validated by the guard OnPath = True) the *State* S3_Ready is entered. In case the robot is not in the correct position (Else) the S2_Loaded State is entered. In that *State*, the Prepare() *Method* puts the robot in the correct position, and triggers the *Transition* to S3_Ready. If the robot is ready, it can be started. While it is running, it can be stopped. If the program is finished, the robot goes back to either the loaded or ready state, depending on its position, using the *ChoiceState*, again.

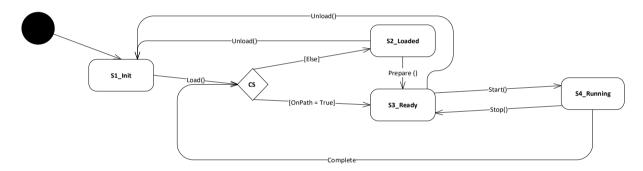


Figure 16 – Example of a ChoiceState

As the *ChoiceState* is directly exited after it is entered there shall be no trigger (using the *HasCause ReferenceType* or a subtype) defined on any leaving *Transition (Transitions* referencing the *ChoiceState* with the *FromState Reference* or a subtype).

Table 18 – ChoiceStateType

Attribute	Value						
BrowseName	Choi	ChoiceStateType					
IsAbstract	False	False					
References NodeClass		BrowseName	DataType	TypeDefinition	ModellingRule		
Subtype of the	State Tj	pe defined in Cl	ause 4.4.8				
Conformance	Units						
Base Info Choic	ce State	es					

4.6.3 HasGuard

The *HasGuard ReferenceType* is a concrete *ReferenceType* and can be used directly. It is a subtype of *HasComponent*.

The semantic of this *ReferenceType* is to point from a *Transition* to a *Guard*. The *Guard* indicates if the *Transition* can be used or not (see *GuardVariableType*). A *Transition* can only be used, if the *Guard* is "True". A *Transition* can point to several *Guards*. In that case, all *Guards* need to be "True" before the *Transition* can be used. If there is no *Guard*, the *Transition* can always be used (considered as if all *Guards* are "True").

The SourceNode of this ReferenceType shall be an Object of the ObjectType TransitionType or one of its subtypes. The TargetNode shall be a Variable of the VariableType GuardVariableType or one of its subtypes.

The representation of the *HasGuard ReferenceType* in the *AddressSpace* is specified in Table 19.

Attribute	Value						
BrowseName	HasGuard	HasGuard					
InverseName	GuardOf						
Symmetric	False						
IsAbstract	False						
References NodeClass		BrowseName	DataType	TypeDefinition	ModellingRule		
	HasComponent Referen		PC 10000-5. Note	that a Reference to this sub	otype is not		
Conformance	Units						
Base Info Choid	ce States						

Table 19 – HasGuard ReferenceType

4.6.4 GuardVariableType

The GuardVariableType provides the information of a Guard of a Transition in a StateMachine. A Guard indicates, if the Transition can be used or not. The Guard defines a semantic that can be evaluated to "True" or "False". Only if the semantic is "True", the Transition connected via a HasGuard Reference can be used. The value of the GuardVariableType provides the semantic of the Guard in a human-readable way, that can be used to display the StateMachine.

This base *GuardVariableType* does not define a machine-readable semantic of the *Guard*. The calculation, if the *Guard* is "True" or "False" is server-specific. Subtypes of this *VariableType* do define concrete machine-readable semantics.

The GuardVariableType is formally defined in Table 20.

Table 20 – GuardVariableType definition

Attribute	Value						
BrowseName	GuardVariableT	Туре					
DataType	LocalizedText						
ValueRank	-1 (-1 = Scalar)					
IsAbstract	False						
References	NodeClass	NodeClass BrowseName DataType TypeDefinition ModellingRule					
<i>7</i> 1		Type defined in Clause OPC 10000 (pe is not shown in the definition of		′ariableType.			
HasSubtype	VariableType	ElseGuardVariableType	Defined in Cla	ause 4.6.6			
HasSubtype	VariableType ExpressionGuardVariableType Defined in Clause 4.6.5						
Conformance	Units						
Base Info Choic	e States						

4.6.5 ExpressionGuardVariableType

The *ExpressionGuardVariableType* provides, in addition to the human-readable semantic from its *GuardVariableType*, a machine interpretable representation on the semantic.

The ExpressionGuardVariableType is formally defined in Table 21.

Attribute	Value	Value					
BrowseName	ExpressionGua	ExpressionGuardVariableType GuardVariableType					
DataType	LocalizedText	LocalizedText					
ValueRank	-1 (-1 = Scala	-1 (-1 = Scalar)					
IsAbstract	False	False					
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule		
Subtype of the	GuardVariableTyp	e defined in Clause 4.6.4					
HasProperty	Variable	Expression	ContentFilter	PropertyType	Mandatory		
Conformance	Units	•	•	· · · · ·	•		
Base Info Choic	0						

Table 21 – ExpressionGuardVariableType definition

The mandatory *Property Expression* provides a *ContentFilter*, that shall be evaluated on the Object the StateMachine belongs to. This is a machine-readable semantic of the *Guard*. If the *ContentFilter* evaluates to "True", the *Guard* is "True", otherwise the *Guard* is "False".

The *ContentFilter* for *ExpressionGuardVariableType* is restricted to basic operators (see OPC 10000-4 for details).

The ContentFilter can reference any Variables defined on the StateMachineType and are validated on the instance of the StateMachine. If the definition of the Variable is not owned directly by the StateMachine but for example some other type, the StateMachine instance shall reference that Variable.

4.6.6 ElseGuardVariableType

The *ElseGuardVariableType* is a specialization of the *GuardVariableType* defining a concrete semantic for the *Guard*. The value of the *Guard* should always be {"en", "Else"} or a translation of this. The *ElseGuardVariableType* shall only be used on pseudo states like the *ChoiceStateType*. That means, that it shall only be referenced from Transitions having such a *StateType* as *SourceNode*. The *ElseGuardVariableType* shall only be used once for each State. That means, that each State shall at most have one *Transition* (referenced as *SourceNode*) referencing an *ElseGuardVariableType*. The *ElseGuardVariableType* shall be the only *Guard* of a *Transition*. That means, if a *Transition* references an instance of an *ElseGuardVariableType*, it shall not reference any other *Guards*.

The semantic of the *ElseGuardVariableType* is, that if a pseudo state is reached and no other Transition exists on the State where all its *Guards* are validated to "True", this Guards validates to "True" and thus its *Transition* is used.

The *ElseGuardVariableType* is formally defined in Table 22.

Attribute	Value						
BrowseName	ElseGuardVaria	ElseGuardVariableTypeGuardVariableType					
DataType	LocalizedText	LocalizedText					
ValueRank	-1 (-1 = Scalar)					
IsAbstract	False	False					
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule		
Subtype of the	GuardVariableType	e defined in Clause 4.6.4					
Conformance	Units						
Base Info Choic	e States						

Table 22 – ElseGuardVariableType definition

4.7 Example of a StateMachine using a ChoiceState and Guards

Taking the sample *StateMachine* of Figure 16 its representation in the OPC UA *AddressSpace* is shown in Figure 17 as RobotStateMachineType. The *Transition* T2 between the *ChoiceState* and S2_Loaded has the Guard "Else" of *ElseGuardVariableType* and the *Transition* T3 between the *ChoiceState* and S3_Ready has the *Guard* "OnPathTrue" having an "Expression" *Property* (not shown in the figure). The *ContentFilter* of the Expression references to the ProgramLoaded *Variable* of the RobotStateMachineType. When the *ChoiceState* is entered on an instance of

the RobotStateMachineType like MyStateMachine in Figure 17, the concrete *Variable* of the instance is used to evaluate the *ContentFilter*. The value of the Expression is in the sample an array with one entry, using the *FilterOperator* Equal_0 and the filterOperands are the SimpleOperand OnPath and the Literal value "True".

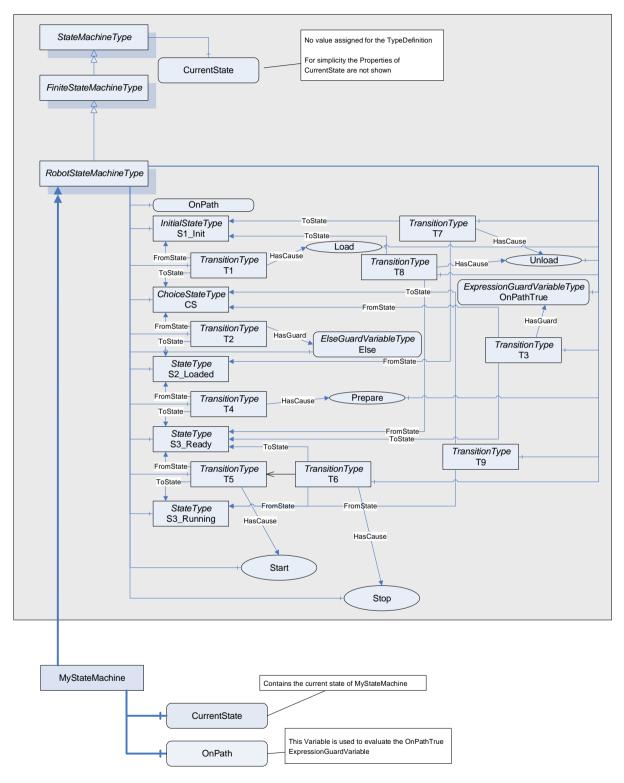


Figure 17 – Example of a StateMachine using ChoiceState and Guards