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UNIFIED ARCHITECTURE –

FOREWORD

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Revision 1.05.00 Highlights

The following table includes the Mantis issues resolved with this revision.

Mantis ID	Summary	Resolution
5657	Make Part 5 Annex C a separate Part	Content of Part 5 Annex C moved to the initial version of this part.
4070	FileTransferStateMachineType::Reset Method modeling rule missing	In 4.4.6 modeling rule for reset method corrected to show mandatory
4742	MaxByteStringLength for file transfer - inconsistency in spec	Added new MaxByteStringLength Property to FileType in 4.2.1
4648	The TrustList OpenWithMasks method breaks the Size property of a FileType	Added clarification to Size Property definition in 4.2.1
4217	FileTransferStateMachine needs state numbers added	Added Table 18 to define Value Attribute values.
5818	Missing relation of types to conformance units and profiles	Added Conformance Units section to all definition tables

OPC Unified Architecture Specification

Part 20: File Transfer

1 Scope

This part of the OPC Unified Architecture defines an Information Model. The Information Model describes the basic infrastructure to model file transfers.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments and errata) applies.

OPC 10000-1, *OPC Unified Architecture - Part 1: Concepts*

<http://www.opcfoundation.org/UA/Part1/>

OPC 10000-3, *OPC Unified Architecture - Part 3: Address Space Model*

<http://www.opcfoundation.org/UA/Part3/>

OPC 10000-4, *OPC Unified Architecture - Part 4: Services*

<http://www.opcfoundation.org/UA/Part4/>

OPC 10000-5, *OPC Unified Architecture - Part 5: Information Model*

<http://www.opcfoundation.org/UA/Part5/>

3 Terms, definitions, abbreviated terms and conventions

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in OPC 10000-1, OPC 10000-3 and OPC 10000-5 apply.

4 File Transfer Model

4.1 Overview

This document describes an information model for file transfer. Files could be modelled in OPC UA as simple Variables using ByteStrings. However, the overall message size in OPC UA is limited due to resources and security issues (denial of service attacks). Only accessing parts of the array can lead to concurrency issues if one client is reading the array while others are manipulating it. Therefore, the *ObjectType FileType* is defined representing a file with *Methods* to access the file. The life-cycle of a file stored on a hard disk and an instance of the *FileType* representing the file in an OPC UA *AddressSpace* can be independent.

In addition to representing individual files this document also defines a way to represent a whole file system or a part of a file system. This can be done using the *FileDirectoryType* in combination with the *FileType*. The *FileDirectoryType* provides *Methods* to create delete and move files and directories. The root of a file system or part of a file system is represented by an instance of the *FileDirectoryType* with the *BrowseName FileSystem*. All directories below the root directory are represented by instances of the *FileDirectoryType* or a subtype. All files below the root directory are represented by instances of the *FileType* or a subtype.

In different situations like transfer of configuration files or firmware update, the files are temporary, and an additional handshake is necessary to create the file for reading or to apply the file after writing it to the server. This use case is covered by the *TemporaryFileType* defined in this document.

This document is an integral part of this standard, that is, the types defined in this document have to be used as defined. However, it is not required but strongly recommended that a *Server* uses these types to expose its files. The defined types may be subtyped to refine their behaviour.

4.2 FileType

4.2.1 General

This *ObjectType* defines a type for files. It is formally defined in Table 1.

Table 1 – FileType

Attribute	Value				
BrowseName	FileType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the BaseObjectType defined in OPC 10000-5					
HasProperty	Variable	Size	UInt64	PropertyType	Mandatory
HasProperty	Variable	Writable	Boolean	PropertyType	Mandatory
HasProperty	Variable	UserWritable	Boolean	PropertyType	Mandatory
HasProperty	Variable	OpenCount	UInt16	PropertyType	Mandatory
HasProperty	Variable	MimeType	String	PropertyType	Optional
HasComponent	Method	Open	Defined in 4.2.2		Mandatory
HasComponent	Method	Close	Defined in 4.2.3		Mandatory
HasComponent	Method	Read	Defined in 4.2.4		Mandatory
HasComponent	Method	Write	Defined in 4.2.5		Mandatory
HasComponent	Method	GetPosition	Defined in 4.2.6		Mandatory
HasComponent	Method	SetPosition	Defined in 4.2.7		Mandatory
HasProperty	Variable	MaxByteStringLength	UInt32	PropertyType	Optional
Conformance Units					
Base Info FileType Base					

Size defines the size of the file in Bytes. When a file is opened for write the size might not be accurate. If the *Server* can not accurately determine the size of the file, the *Size Property* shall be returned to a *Client* with a *StatusCode* of *Bad_NotSupported*.

Writable indicates whether the file is writable. It does not take any user access rights into account, i.e. although the file is writable this may be restricted to a certain user / user group. The *Property* does not consider whether the file is currently opened for writing by another client and thus currently locked and not writable by others.

UserWritable indicates whether the file is writable taking user access rights into account. The *Property* does not consider whether the file is currently opened for writing by another client and thus currently locked and not writable by others.

OpenCount indicates the number of currently valid file handles on the file.

The optional *Property MimeType* contains the media type of the file based on RFC 2046.

Note that all *Methods* on a file require a *fileHandle*, which is returned in the *Open Method*.

The optional *MaxByteStringLength Property* indicates the maximum number of bytes of the read and write buffers. If this *Property* is not present then the maximum size is defined by the *MaxByteStringLength Property* of the *ServerCapabilitiesType* defined in OPC 10000-5.

4.2.2 Open

Open is used to open a file represented by an *Object* of *FileType*. When a client opens a file it gets a file handle that is valid while the session is open. Clients shall use the *Close Method* to release the handle when they do not need access to the file anymore. Clients can open the same file several times for read. A request to open for writing shall return *Bad_NotWritable* when the file is already opened. A request to open for reading shall return *Bad_NotReadable* when the file is already opened for writing.

Signature

```
Open (
    [in] Byte mode
    [out] UInt32 fileHandle
);
```

Argument	Description																		
mode	<p>Indicates whether the file should be opened only for read operations or for read and write operations and where the initial position is set.</p> <p>The <i>mode</i> is an 8-bit unsigned integer used as bit mask with the structure defined in the following table:</p> <table border="1"> <thead> <tr> <th>Field</th> <th>Bit</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>Read</td> <td>0</td> <td>The file is opened for reading. If this bit is not set the Read Method cannot be executed.</td> </tr> <tr> <td>Write</td> <td>1</td> <td>The file is opened for writing. If this bit is not set the Write Method cannot be executed.</td> </tr> <tr> <td>EraseExisting</td> <td>2</td> <td>This bit can only be set if the file is opened for writing (Write bit is set). The existing content of the file is erased and an empty file is provided.</td> </tr> <tr> <td>Append</td> <td>3</td> <td>When the Append bit is set the file is opened at end of the file, otherwise at begin of the file. The SetPosition Method can be used to change the position.</td> </tr> <tr> <td>Reserved</td> <td>4:7</td> <td>Reserved for future use. Shall always be zero.</td> </tr> </tbody> </table>	Field	Bit	Description	Read	0	The file is opened for reading. If this bit is not set the Read Method cannot be executed.	Write	1	The file is opened for writing. If this bit is not set the Write Method cannot be executed.	EraseExisting	2	This bit can only be set if the file is opened for writing (Write bit is set). The existing content of the file is erased and an empty file is provided.	Append	3	When the Append bit is set the file is opened at end of the file, otherwise at begin of the file. The SetPosition Method can be used to change the position.	Reserved	4:7	Reserved for future use. Shall always be zero.
Field	Bit	Description																	
Read	0	The file is opened for reading. If this bit is not set the Read Method cannot be executed.																	
Write	1	The file is opened for writing. If this bit is not set the Write Method cannot be executed.																	
EraseExisting	2	This bit can only be set if the file is opened for writing (Write bit is set). The existing content of the file is erased and an empty file is provided.																	
Append	3	When the Append bit is set the file is opened at end of the file, otherwise at begin of the file. The SetPosition Method can be used to change the position.																	
Reserved	4:7	Reserved for future use. Shall always be zero.																	
fileHandle	<p>A handle for the file used in other method calls indicating not the file (this is done by the Object of the Method call) but the access request and thus the position in the file. The fileHandle is generated by the server and is unique for the Session. Clients cannot transfer the fileHandle to another Session but need to get a new fileHandle by calling the Open Method.</p>																		

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_NotReadable	See OPC 10000-4 for a general description. File might be locked and thus not readable.
Bad_NotWritable	See OPC 10000-4 for a general description.
Bad_InvalidState	See OPC 10000-4 for a general description. The file is locked and thus not writable.
Bad_InvalidArgument	See OPC 10000-4 for a general description. Mode setting is invalid.
Bad_NotFound	See OPC 10000-4 for a general description.
Bad_UnexpectedError	See OPC 10000-4 for a general description.

Table 2 specifies the *AddressSpace* representation for the *Open Method*.

Table 2 – Open Method AddressSpace definition

Attribute	Value				
BrowseName	Open				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileType Base					

4.2.3 Close

Close is used to close a file represented by a FileType. When a client closes a file the handle becomes invalid.

Signature

```

Close (
    [in] UInt32 fileHandle
);

```

Argument	Description
fileHandle	A handle indicating the access request and thus indirectly the position inside the file.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_InvalidArgument	See OPC 10000-4 for a general description. Invalid file handle in call.

Table 3 specifies the *AddressSpace* representation for the *Close Method*.

Table 3 – Close Method AddressSpace definition

Attribute	Value				
BrowseName	Close				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileType Base					

4.2.4 Read

Read is used to read a part of the file starting from the current file position. The file position is advanced by the number of bytes read.

Signature

```

Read (
    [in] UInt32 fileHandle
    [in] Int32 length
    [out] ByteString data
);

```

Argument	Description
fileHandle	A handle indicating the access request and thus indirectly the position inside the file.
Length	Defines the length in bytes that should be returned in data, starting from the current position of the file handle. If the end of file is reached all data until the end of the file is returned. The <i>Server</i> is allowed to return less data than specified length. Only positive values are allowed.
Data	Contains the returned data of the file. If the <i>ByteString</i> is empty it indicates that the end of the file is reached.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_InvalidArgument	See OPC 10000-4 Invalid file handle in call or non-positive length.
Bad_UnexpectedError	See OPC 10000-4 for a general description.
Bad_InvalidState	See OPC 10000-4 for a general description. File was not opened for read access.

Table 4 specifies the *AddressSpace* representation for the *Read Method*.

Table 4 – Read Method AddressSpace definition

Attribute	Value				
BrowseName	Read				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileType Base					

4.2.5 Write

Write is used to write a part of the file starting from the current file position. The file position is advanced by the number of bytes written.

Signature

```

Write (
    [in] UInt32 fileHandle
    [in] ByteString data
);
    
```

Argument	Description
fileHandle	A handle indicating the access request and thus indirectly the position inside the file.
data	Contains the data to be written at the position of the file. It is server-dependent whether the written data are persistently stored if the session is ended without calling the <i>Close Method</i> with the <i>fileHandle</i> . Writing an empty or null <i>ByteString</i> returns a Good result code without any affect on the file.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_InvalidArgument	See OPC 10000-4 for a general description. Invalid file handle in call.
Bad_NotWritable	See OPC 10000-4 for a general description. File might be locked and thus not writable.
Bad_InvalidState	See OPC 10000-4 for a general description. File was not opened for write access.

Table 5 specifies the *AddressSpace* representation for the *Write Method*.

Table 5 – Write Method AddressSpace definition

Attribute	Value				
BrowseName	Write				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileType Base					

4.2.6 GetPosition

GetPosition is used to provide the current position of the file handle.

Signature

```
GetPosition (
    [in] UInt32 fileHandle
    [out] UInt64 position
);
```

Argument	Description
fileHandle	A handle indicating the access request and thus indirectly the position inside the file.
Position	The position of the fileHandle in the file. If a Read or Write is called it starts at that position.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_InvalidArgument	See OPC 10000-4 for a general description. Invalid file handle in call.

Table 6 specifies the *AddressSpace* representation for the *GetPosition Method*.

Table 6 – GetPosition Method AddressSpace definition

Attribute	Value				
BrowseName	GetPosition				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileType Base					

4.2.7 SetPosition

SetPosition is used to set the current position of the file handle.

Signature

```
SetPosition (
    [in] UInt32 fileHandle
    [in] UInt64 position
);
```

Argument	Description
fileHandle	A handle indicating the access request and thus indirectly the position inside the file.
Position	The position to be set for the fileHandle in the file. If a Read or Write is called it starts at that position. If the position is higher than the file size the position is set to the end of the file.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_InvalidArgument	See OPC 10000-4 for a general description. Invalid file handle in call.

Table 7 specifies the *AddressSpace* representation for the *SetPosition Method*.

Table 7 – SetPosition Method AddressSpace definition

Attribute	Value				
BrowseName	SetPosition				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileType Base					

4.3 File System

4.3.1 FileDirectoryType

This *ObjectType* defines a type for the representation of file directories. It is formally defined in Table 8.

It is expected that OPC UA Servers will create vendor-specific subtypes of the *FileDirectoryType* with additional functionalities like *Methods* for creating symbolic links or setting access permissions. OPC UA Clients providing specialized file transfer user interfaces should be prepared to expose such additional *Methods* to the user.

Table 8 – FileDirectoryType

Attribute	Value				
BrowseName	FileDirectoryType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the FolderType defined in OPC 10000-5					
Organizes	Object	<FileDirectoryName>		FileDirectoryType	OptionalPlaceholder
Organizes	Object	<FileName>		FileType	OptionalPlaceholder
HasComponent	Method	CreateDirectory	Defined in 4.3.3		Mandatory
HasComponent	Method	CreateFile	Defined in 4.3.4		Mandatory
HasComponent	Method	Delete	Defined in 4.3.5		Mandatory
HasComponent	Method	MoveOrCopy	Defined in 4.3.6		Mandatory
Conformance Units					
Base Info FileDirectoryType Base					

Instances of the *ObjectType* contain a list of *FileDirectoryType Objects* representing the subdirectories of the file directory represented by the instance of this *ObjectType*.

Instances of the *ObjectType* contain a list of *FileType Objects* representing the files in the file directory represented by the instance of this *ObjectType*.

4.3.2 FileSystem Object

The support of file directory structures is declared by aggregating an instance of the *FileDirectoryType* with the *BrowseName FileSystem* as illustrated in Figure 1.

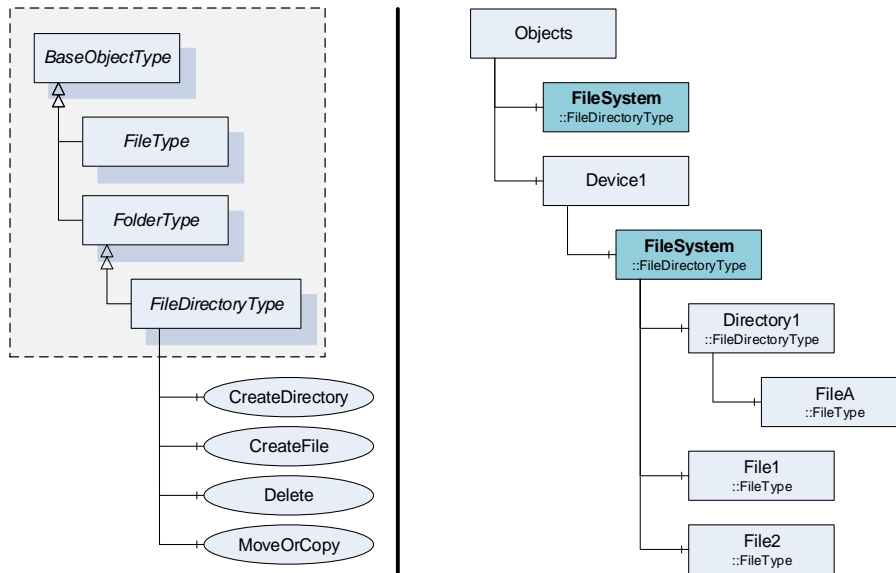


Figure 1 – FileSystem example

The *Object* representing the root of a file directory structure shall have the *BrowseName* *FileSystem*. An OPC UA Server may have different *FileSystem* *Objects* in the *AddressSpace*. *HasComponent* is used to reference a *FileSystem* from aggregating *Objects* like the *Objects* *Folder* or the *Object* representing a device.

4.3.3 CreateDirectory

CreateDirectory is used to create a new *FileDirectoryType* *Object* organized by this *Object*.

Signature

```

CreateDirectory (
    [in] String      directoryName
    [out] NodeId     directoryNodeId
);
    
```

Argument	Description
directoryName	The name of the directory to create. The name is used for the <i>BrowseName</i> and <i>DisplayName</i> of the directory object and also for the directory in the file system. For the <i>BrowseName</i> , the <i>directoryName</i> is used for the name part of the <i>QualifiedName</i> . The namespace index is Server specific. For the <i>DisplayName</i> , the <i>directoryName</i> is used for the text part of the <i>LocalizedText</i> . The locale part is Server specific.
directoryNodeId	The <i>NodeId</i> of the created directory <i>Object</i> .

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_BrowseNameDuplicated	See OPC 10000-4 for a general description. A directory with the name already exists.
Bad_UserAccessDenied	See OPC 10000-4 for a general description.

Table 9 specifies the *AddressSpace* representation for the *CreateDirectory* *Method*.

Table 9 – CreateDirectory Method AddressSpace definition

Attribute	Value				
BrowseName	CreateDirectory				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileDirectoryType Base					

4.3.4 CreateFile

CreateFile is used to create a new *FileType Object* organized by this *Object*. The created file can be written using the *Write Method* of the *FileType*.

Signature

```

CreateFile (
    [in] String      fileName
    [in] Boolean     requestFileOpen
    [out] NodeId     fileNodeId
    [out] UInt32     fileHandle
);
    
```

Argument	Description
fileName	The name of the file to create. The name is used for the BrowseName and DisplayName of the file object and also for the file in the file system. For the BrowseName, the fileName is used for the name part of the QualifiedName. The namespace index is Server specific. For the DisplayName, the fileName is used for the text part of the LocalizedText. The locale part is Server specific.
requestFileOpen	Flag indicating if the new file should be opened with the Write and Read bits set in the open mode after the creation of the file. If the flag is set to True, the file is created and opened for writing. If the flag is set to False, the file is just created.
fileNodeId	The NodeId of the created file Object.
fileHandle	The fileHandle is returned if the requestFileOpen is set to True. The fileNodeId and the fileHandle can be used to access the new file through the FileType Object representing the new file. If requestFileOpen is set to False, the returned value shall be 0 and shall be ignored by the caller.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_BrowseNameDuplicated	See OPC 10000-4 for a general description. A file with the name already exists.
Bad_UserAccessDenied	See OPC 10000-4 for a general description.

Table 10 specifies the *AddressSpace* representation for the *CreateFile Method*.

Table 10 – CreateFile Method AddressSpace definition

Attribute	Value				
BrowseName	CreateFile				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileDirectoryType Base					

4.3.5 Delete

Delete is used to delete a file or directory organized by this *Object*.

Signature

```

Delete (
    [in] NodeId objectToDelete
);

```

Argument	Description
objectToDelete	The NodeId of the file or directory to delete. In the case of a directory, all file and directory Objects below the directory to delete are deleted recursively.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_NotFound	See OPC 10000-4 for a general description. A file or directory with the provided NodeId is not organized by this object.
Bad_InvalidState	See OPC 10000-4 for a general description. The file or directory is locked and thus cannot be deleted.
Bad_UserAccessDenied	See OPC 10000-4 for a general description.

Table 11 specifies the *AddressSpace* representation for the *Delete Method*.

Table 11 – Delete Method AddressSpace definition

Attribute	Value				
BrowseName	Delete				
References	NodeClass	BrowseName	Data Type	Type Definition	Modelling Rule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileDirectoryType Base					

4.3.6 MoveOrCopy

MoveOrCopy is used to move or copy a file or directory organized by this *Object* to another directory or to rename a file or directory.

Signature

```

MoveOrCopy (
    [in] NodeId    objectToMoveOrCopy
    [in] NodeId    targetDirectory
    [in] Boolean   createCopy
    [in] String    newName
    [out] NodeId   newNodeId
);

```

Argument	Description
objectToMoveOrCopy	The NodeId of the file or directory to move or copy.
targetDirectory	The NodeId of the target directory of the move or copy command. If the file or directory is just renamed, the targetDirectory matches the ObjectId passed to the method call.
createCopy	A flag indicating if a copy of the file or directory should be created at the target directory.
newName	The new name of the file or directory in the new location. If the string is empty, the name is unchanged.
newNodeId	The NodeId of the moved or copied object. Even if the Object is moved, the Server may return a new NodeId.

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_BrowseNameDuplicated	See OPC 10000-4 for a general description. A file or directory with the name already exists.
Bad_NotFound	See OPC 10000-4 for a general description. A file or directory with the provided NodeId is not organized by this object.
Bad_InvalidState	See OPC 10000-4 for a general description. The file or directory is locked and thus cannot be moved or copied.
Bad_UserAccessDenied	See OPC 10000-4 for a general description.

Table 12 specifies the *AddressSpace* representation for the *MoveOrCopy Method*.

Table 12 – MoveOrCopy Method AddressSpace definition

Attribute	Value				
BrowseName	MoveOrCopy				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info FileDirectoryType Base					

4.4 Temporary file transfer

4.4.1 TemporaryFileTransferType

This *ObjectType* defines a type for the representation of temporary file transfers. It is formally defined in Table 13. The *Methods* *GenerateFileForRead* or *GenerateFileForWrite* generate a temporary *FileType Object* that is not browsable in the *AddressSpace* and can only be accessed with the *NodeId* and *FileHandle* returned by the *Methods* in the same *Session*. This *Object* is used to transfer the temporary file between OPC UA *Client* and *Server*.

Table 13 – TemporaryFileTransferType

Attribute	Value				
BrowseName	TemporaryFileTransferType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the BaseObjectType defined in OPC 10000-5					
HasProperty	Variable	ClientProcessingTimeout	Duration	PropertyType	Mandatory
HasComponent	Method	GenerateFileForRead	Defined in 4.4.3		Mandatory
HasComponent	Method	GenerateFileForWrite	Defined in 4.4.4		Mandatory
HasComponent	Method	CloseAndCommit	Defined in 4.4.5		Mandatory
HasComponent	Object	<TransferState>		FileTransferStateMachine Type	OptionalPlaceholder
Conformance Units					
Base Info TemporaryFileTransferType Base					

The *Property ClientProcessingTimeout* defines the maximum time in milliseconds the *Server* accepts between *Method* calls necessary to complete a file read transfer or a file write transfer transaction. This includes the *Method* calls to read or write the file content from the virtual temporary *FileType Object*. If the *Client* exceeds the timeout between *Method* calls, the *Server* may close the file and cancel the corresponding transfer transaction. Any open temporary transfer file shall be deleted if the *Session* used to create the file is no longer valid.

The *TransferState Objects* are used to expose the state of a transfer transaction in the case that the preparation of a file for reading or the processing of the file after writing completes asynchronous after the corresponding *Method* execution. If the transactions are completed when the *Method* is returned, the optional *TransferState Objects* are not available. A *Server*

may allow more than one parallel read transfer. A *Server* may not allow more than one write transfer or a parallel read and writer transfer.

4.4.2 File transfer sequences

The sequence of *Method* calls necessary to execute a read file transfer transaction is illustrated in Figure 2.

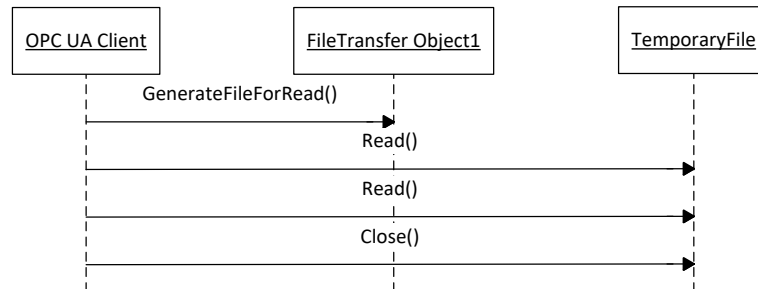


Figure 2 – Read file transfer example sequence

The read file transfer transaction is started with the Method *GenerateFileForRead* defined by the *TemporaryFileTransferType*. After a successful call of this *Method*, the *Client* reads the file content by calling the *Method Read* defined by the *FileType* until the whole file is transferred from the *Server* to the *Client*. The transaction is completed by calling the *Method Close* defined by the *FileType*.

The sequence of *Method* calls necessary to execute a write file transfer transaction is illustrated in Figure 3.

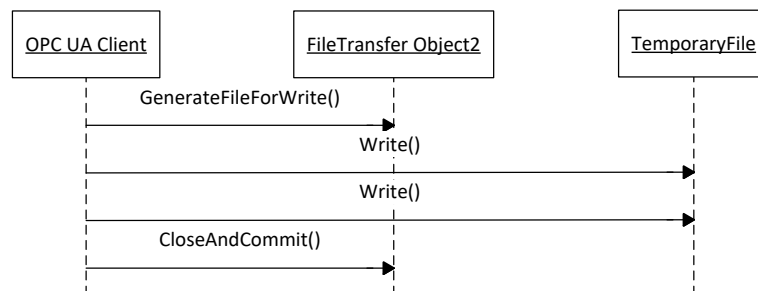


Figure 3 – Write file transfer example sequence

The write file transfer transaction is started with the *Method StartWriteTransfer* defined by the *TemporaryFileTransferType*. After a successful call of this *Method*, the *Client* writes the file content by calling the *Method Write* defined by the *FileType* until the whole file is transferred from the *Client* to the *Server*. The transaction is completed by calling the *Method*

CloseAndCommit defined by the *TemporaryFileTransferType*. If the *Client* wants to abort the operation it uses the *Close Method* of the temporary *FileType Object*.

4.4.3 GenerateFileForRead

GenerateFileForRead is used to start the read file transaction. A successful call of this *Method* creates a temporary *FileType Object* with the file content and returns the *NodeId* of this *Object* and the file handle to access the *Object*.

Signature

```
GenerateFileForRead (
    [in] BaseDataType    generateOptions
    [out] NodeId         fileNameId
    [out] UInt32         fileHandle
    [out] NodeId         completionStateMachine
);
```

Argument	Description
generateOptions	The optional parameter can be used to specify server specific file generation options. To allow such options, the <i>Server</i> shall specify a concrete <i>DataType</i> in the <i>Argument Structure</i> for this argument in the instance of the <i>Method</i> . If the <i>DataType</i> is <i>BaseDataType</i> , the <i>Client</i> shall pass Null for this argument. Examples for concrete <i>DataTypes</i> are <ul style="list-style-type: none"> OptionsSet Used to provide a bit mask for file content selection String Can be used to provide a string filter or a regular expression Structure Can be used to provide a structure with create settings e.g. to create a report Enumeration Can be used to provide a list of options
fileNodeId	NodeId of the temporary file.
fileHandle	The fileHandle of the opened <i>TransferFile</i> . The fileHandle can be used to access the <i>TransferFile Methods Read and Close</i> .
completionStateMachine	If the creation of the file is completed asynchronous, the parameter returns the NodeId of the corresponding <i>FileTransferStateMachineType Object</i> . If the creation of the file is already completed, the parameter is null. If a <i>FileTransferStateMachineType Object</i> NodeId is returned, the <i>Read Method</i> of the file fails until the <i>TransferState</i> changed to <i>ReadTransfer</i> .

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_UserAccessDenied	See OPC 10000-4 for a general description.

Table 14 specifies the *AddressSpace* representation for the *GenerateFileForRead Method*.

Table 14 – GenerateFileForRead Method AddressSpace definition

Attribute	Value				
BrowseName	GenerateFileForRead				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info TemporaryFileTransferType Base					

4.4.4 GenerateFileForWrite

GenerateFileForWrite is used to start the write file transaction. A successful call of this *Method* creates a temporary *FileType Object* and returns the *NodeId* of this *Object* and the file handle to access the *Object*.

Signature

```

GenerateFileForWrite (
    [in] BaseDataType      generateOptions
    [out] NodeId           fileNodeId
    [out] UInt32           fileHandle
);

```

Argument	Description
generateOptions	The optional parameter can be used to specify server specific file generation options. To allow such options, the <i>Server</i> shall specify a concrete <i>DataType</i> in the <i>Argument Structure</i> for this argument in the instance of the <i>Method</i> . If the <i>DataType</i> is <i>BaseDataType</i> , the Client shall pass Null for this argument. Examples for concrete <i>DataTypes</i> are OptionsSet Used to provide a bit mask for file use selection Structure Can be used to provide a structure with create settings e.g. firmware update settings Enumeration Can be used to provide a list of options like file handling options
fileNodeId	NodeId of the temporary file.
fileHandle	The fileHandle of the opened <i>TransferFile</i> . The fileHandle can be used to access the <i>TransferFile Methods Write</i> and <i>Close</i> .

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_UserAccessDenied	See OPC 10000-4 for a general description.

Table 15 specifies the *AddressSpace* representation for the *GenerateFileForWrite Method*.

Table 15 – GenerateFileForWrite Method AddressSpace definition

Attribute	Value				
BrowseName	GenerateFileForWrite				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info TemporaryFileTransferType Base					

4.4.5 CloseAndCommit

CloseAndCommit is used to apply the content of the written file and to delete the temporary file after the completion of the transaction.

Signature

```

CloseAndCommit (
    [in] UInt32           fileHandle
    [out] NodeId         completionStateMachine
);

```

Argument	Description
fileHandle	The fileHandle used to write the file.
completionStateMachine	If the processing of the file is completed asynchronous, the parameter returns the NodeId of the corresponding <i>FileTransferStateMachineType</i> Object. If the processing of the file is already completed, the parameter is null. If a <i>FileTransferStateMachineType</i> Object NodeId is returned, the processing is in progress until the <i>TransferState</i> changed to <i>Idle</i> .

Method Result Codes (defined in Call Service)

Result Code	Description
Bad_UserAccessDenied	See OPC 10000-4 for a general description.

Table 16 specifies the *AddressSpace* representation for the *CloseAndCommit Method*.

Table 16 – CloseAndCommit Method AddressSpace definition

Attribute	Value				
BrowseName	CloseAndCommit				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
HasProperty	Variable	OutputArguments	Argument[]	PropertyType	Mandatory
Conformance Units					
Base Info TemporaryFileTransferType Base					

4.4.6 FileTransferStateMachineType

The states of the file transfer state machine are shown in Figure 4.

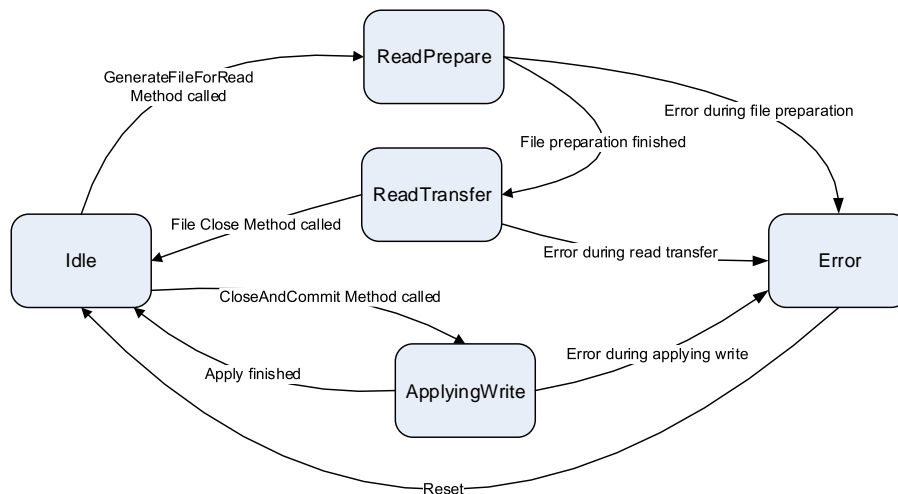


Figure 4 – File transfer States

The *FileTransferStateMachineType* and the related type are illustrated in Figure 5.

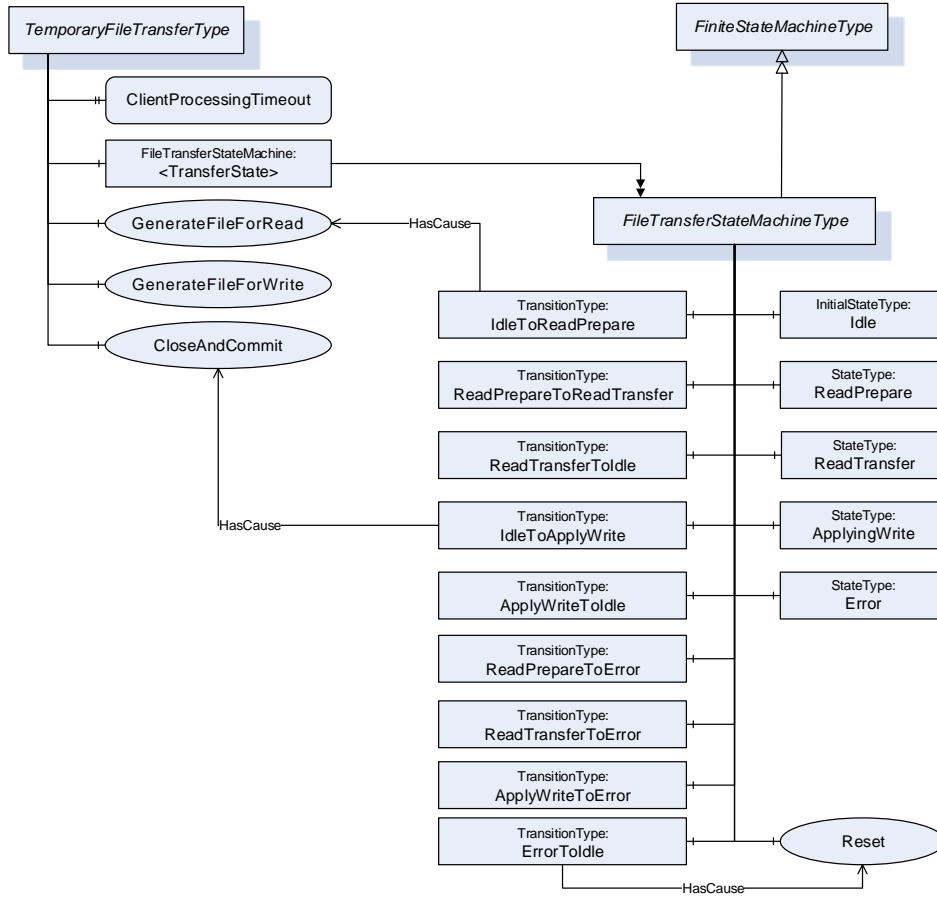


Figure 5 – FileTransferStateMachineType

This *ObjectType* defines the StateMachine for asynchronous processing of temporary file transfers. It is formally defined in Table 17. The transitions are formally defined in Table 19.

Table 17 – FileTransferStateMachineType

Attribute	Value				
BrowseName	FileTransferStateMachineType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	Type Definition	Modelling Rule
Subtype of the FiniteStateMachineType defined in B.4.5.					
HasComponent	Object	Idle		InitialStateType	
HasComponent	Object	ReadPrepare		StateType	
HasComponent	Object	ReadTransfer		StateType	
HasComponent	Object	ApplyWrite		StateType	
HasComponent	Object	Error		StateType	
HasComponent	Object	IdleToReadPrepare		TransitionType	
HasComponent	Object	ReadPrepareToReadTransfer		TransitionType	
HasComponent	Object	ReadTransferToIdle		TransitionType	
HasComponent	Object	IdleToApplyWrite		TransitionType	
HasComponent	Object	ApplyWriteToIdle		TransitionType	
HasComponent	Object	ReadPrepareToError		TransitionType	
HasComponent	Object	ReadTransferToError		TransitionType	
HasComponent	Object	ApplyWriteToError		TransitionType	
HasComponent	Object	ErrorToIdle		TransitionType	
HasComponent	Method	Reset	Defined in 4.4.7		Mandatory
Conformance Units					
Base Info TemporaryFileTransferType Base					

The component *Variables* of the *FileTransferStateMachineType* have additional *Attributes* defined in Table 18.

Table 18 – FileTransferStateMachineType Attribute values for child Nodes

Source Path	Value Attribute
Idle	1
StateNumber	
ReadPrepare	2
StateNumber	
ReadTransfer	3
StateNumber	
ApplyWrite	4
StateNumber	
Error	5
StateNumber	
IdleToReadPrepare	12
TransitionNumber	
ReadPrepareToReadTransfer	23
TransitionNumber	
ReadTransferToIdle	31
TransitionNumber	
IdleToApplyWrite	14
TransitionNumber	
ApplyWriteToIdle	41
TransitionNumber	
ReadPrepareToError	25
TransitionNumber	
ReadTransferToError	35
TransitionNumber	
ApplyWriteToError	45
TransitionNumber	
ErrorToIdle	51
TransitionNumber	

Table 19 – FileTransferStateMachineType transitions

BrowseName	References	BrowseName	TypeDefinition
Transitions			
IdleToReadPrepare	FromState	Idle	StateType
	ToState	ReadPrepare	StateType
	HasEffect	TransitionEventType	
ReadPrepareToReadTransfer	FromState	ReadPrepare	StateType
	ToState	ReadTransfer	StateType
	HasEffect	TransitionEventType	
ReadTransferToIdle	FromState	ReadTransfer	StateType
	ToState	Idle	StateType
	HasEffect	TransitionEventType	
IdleToApplyWrite	FromState	Idle	StateType
	ToState	ApplyWrite	StateType
	HasEffect	TransitionEventType	
ApplyWriteToIdle	FromState	ApplyWrite	StateType
	ToState	Idle	StateType
	HasEffect	TransitionEventType	
ReadPrepareToError	FromState	ReadPrepare	StateType
	ToState	Error	StateType
	HasEffect	TransitionEventType	
ReadTransferToError	FromState	ReadTransfer	StateType
	ToState	Error	StateType
	HasEffect	TransitionEventType	
ApplyWriteToError	FromState	ApplyWrite	StateType
	ToState	Error	StateType
	HasEffect	TransitionEventType	
ErrorToIdle	FromState	Error	StateType
	ToState	Idle	StateType
	HasEffect	TransitionEventType	

4.4.7 Reset

Reset is used to reset the Error state of a *FileTransferStateMachineType Object*.

Signature

Reset () ;

Table 20 specifies the *AddressSpace* representation for the *Reset Method*.

Table 20 – Reset Method AddressSpace definition

Attribute	Value				
BrowseName	Reset				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Conformance Units					
Base Info TemporaryFileTransferType Base					